

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants : Philip M. Ginsberg, et al.  
Application No. : 09/853,430 Confirmation No. : 6761  
Filed : May 10, 2001  
For : SYSTEMS AND METHODS FOR ANONYMOUS  
ELECTRONIC TRADING  
Group Art Unit : 3691  
Examiner : Olabode Akintola

Mail Stop AF  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

Sir:

Applicants submit herewith patents, publications or other information of which they are aware that they believe may be material to the patentability of this application, and in respect of which, there may be a duty to disclose.

The filing of this Information Disclosure Statement shall not be construed as (i) a representation that a thorough search has been made; (ii) an admission that the information cited is, or is considered to be material to patentability; (iii) an admission that no other material information exists; or (iv) an admission that Applicants agree with any statement(s) in the information cited. Nor shall the filing of this information disclosure statement be construed as an admission against interest in any manner.

This Information Disclosure Statement is filed in accordance with 37 C.F.R. §§ 1.56, 1.97, and 1.98. The items listed on the accompanying PTO Form-1449 may be pertinent to the above-identified application and are made of record to assist the Patent and Trademark Office in its examination of this application.

The Examiner is respectfully requested to fully consider the items listed on the enclosed PTO Form-1449, to independently ascertain their teaching, and to initial beside each reference listed. Please return a copy of the initialed citation form to the Applicants' undersigned representative.

### **Fees**

This Information Disclosure Statement is being filed pursuant to 37 C.F.R. § 1.97(d) and in compliance with 37 C.F.R. § 1.97(e)(2). No item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application and to the knowledge of applicants after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of the information disclosure statement.

Applicants herein authorize the Commissioner to charge Deposit Account No. 50-3938 in the amount of \$180.00 in connection with this Information Disclosure Statement.

Respectfully submitted,

March 20, 2008  
Date

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